

# Brown Advisory Funds

## IRA Transfer/Rollover Form

*[If this is for a new IRA Account, an IRA Application must accompany this form.]*

Mail to: Brown Advisory Funds  
c/o U.S. Bank Global Fund Services  
P.O. Box 701  
Milwaukee, WI 53201-0701

Overnight Express Mail To: Brown Advisory Funds  
c/o U.S. Bank Global Fund Services  
615 E. Michigan St., FL3  
Milwaukee, WI 53202-5207

There may be penalties for withdrawing certain investments before their maturity (i.e., certificates of deposit or annuities). Please contact your current custodian or plan administrator prior to submitting this form to determine the applicable time frames and penalties, if any, or if you need a signature guarantee in Section Six to order this transfer. U.S. Bank Global Fund Services will initiate your request upon receipt of this form.

### 1 Investor Information

<input type="text"/>		<input type="text"/>	<input type="text"/>	<input type="text"/>
FIRST NAME		M.I.	LAST NAME	SOCIAL SECURITY NUMBER
<input type="text"/>			<input type="text"/>	
ADDRESS			CITY / STATE / ZIP	
<input type="text"/>		<input type="text"/>		
DAYTIME PHONE NUMBER		EVENING PHONE NUMBER		

**If you are over 70½ please complete Section 4.**

### 2 Instructions to Current IRA Custodian or Plan Administrator

Please include a copy of your current account statement.

<input type="text"/>		
CURRENT CUSTODIAN OR PLAN ADMINISTRATOR		
<input type="text"/>	<input type="text"/>	<input type="text"/>
ACCOUNT NUMBER	CONTACT PERSON	CONTACT NUMBER
<input type="text"/>		<input type="text"/>
STREET ADDRESS		CITY / STATE / ZIP

**1. Consider this your authorization to redeem my investment and transfer my Traditional IRA, SEP IRA, SIMPLE IRA, Roth IRA, or Inherited IRA, or to directly rollover my qualified retirement plan as directed below: \***

☐ All Assets **OR** ☐ \$  or  %

**2. Please process this request:\***

☐ Immediately **OR** ☐ At Maturity  (month / day / year)

*\* If no option is selected, please transfer all assets immediately.*

**3. Type of account being transferred/rolled-over:**

☐ Pension ☐ Profit Sharing Plan ☐ 401(k) ☐ 403(b) ☐ Roth 401(k) ☐ Roth 403(b) ☐ Traditional IRA  
☐ SEP IRA ☐ SIMPLE IRA ☐ Roth IRA ☐ Inherited IRA ☐ Other

**4. Original Roth IRA funding year (if applicable):**

**5. Original SIMPLE IRA funding date (if applicable):**

**6. Send the check representing the assets payable to "The Brown Advisory Funds FBO [Shareholder's Name]" along with a copy of this form to the address at the top of page one.**

**If you are converting from a Traditional IRA to a Roth IRA, please see section 5.**

### 3 Investment Selection

A Brown Advisory Funds IRA Account Application must be completed to process this transfer if a new account is being established.

The Fund(s) and the allocation(s) specified on the Application will be used if they are different from those indicated below.

Fund Name and Class	NEW	EXISTING	ACCOUNT # (IF APPLICABLE)	AMOUNT		%
<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>	OR	<input type="text"/>
<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>	OR	<input type="text"/>
<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>	OR	<input type="text"/>
<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>	OR	<input type="text"/>
<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>	OR	<input type="text"/>

If you would like additional funds, please attach a sheet with the information provided in this section.

### 4 Required Minimum Distribution (RMD) Age Information

**Check one of the following:**

☐ I am under RMD age and do not turn RMD age at anytime during this calendar year.

**OR**

☐ I am RMD age or older and understand that no part of my required minimum distribution is eligible for transfer or rollover. I further understand that there may be significant tax penalties if a transfer or rollover of my required distribution occurs.

### 5 Conversion of Traditional IRA to Roth IRA - Optional

I am converting assets from a Traditional IRA to a Roth IRA. Upon receiving the assets from my current Custodian, I instruct the Fund's transfer agent to invest the proceeds into a new or existing Roth IRA account, as indicated in Section Two. I understand this may be a taxable event. By signing below I agree that I am solely responsible for all tax consequences of this conversion.

OWNER'S SIGNATURE\*

DATE (MM/DD/YYYY)

**\*The Fund's Transfer Agent cannot process the conversion without a signature above.**

## 6 Signature and Certification

I certify that I have established an IRA with the Brown Advisory Funds, of which U.S. Bank, N.A., is the Custodian. I agree to contact my present Custodian from whom I am transferring to determine if specific documentation or a signature guarantee is required. I understand that I am responsible for determining my eligibility for all transfers or direct rollovers. I agree to hold the Custodian harmless against any and all situations arising from an ineligible transfer or direct rollover. I acknowledge that the Custodian or its agent cannot provide legal advice and I agree to consult with my own tax professional for advice.

I authorize U.S. Bank Global Fund Services, to act on my behalf in contacting the current custodian or plan administrator to facilitate the transfer of assets.

X

SIGNATURE OF OWNER [OR GUARDIAN IF IRA OWNER IS A MINOR]

DATE (MM/DD/YYYY)

SIGNATURE GUARANTEE\* (FOR TRANSFERS FROM ANOTHER CUSTODIAN)

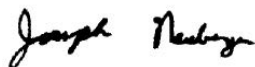
**IMPORTANT:** Please contact your current Custodian to determine if a signature guarantee\* is required.

\* A signature guarantee may be obtained from any eligible guarantor institution, as defined by the Securities and Exchange Commission. These institutions include banks, saving associations, credit unions and brokerage firms. The words "SIGNATURE GUARANTEED" must be stamped or typed near your signature. The guarantee must appear with the printed name, title, and signature of an officer and the name of the guarantor institution. Please note that a Notary Public Seal or Stamp is not acceptable.

## 7 Acceptance / Custodian Authorization

U.S. Bank, N.A., hereby accepts its appointment as Custodian of the above IRA account and upon receipt of assets, will deposit such assets in a Brown Advisory Funds IRA on behalf of the Depositor authorizing this transfer or direct rollover.

U.S. BANK, N.A.



**For additional information please Call 1-800-540-6807 (Toll Free) or 414-203-9064  
or visit us on the web at [www.brownadvisoryfunds.com](http://www.brownadvisoryfunds.com).**

Brown Advisory Growth Equity Fund  
Institutional Shares 1989 (BAFGX)  
Investor Shares 1271 (BIAGX)

Brown Advisory Flexible Equity Fund  
Institutional Shares 1991 (BAFFX)  
Investor Shares 1275 (BIAFX)

Brown Advisory Equity Income Fund  
Institutional Shares 1988 (BAFDX)  
Investor Shares 1798 (BIADX)

Brown Advisory  
Sustainable Growth Fund  
Institutional Shares 1789 (BAFWX)  
Investor Shares 1793 (BIAWX)

Brown Advisory  
Mid-Cap Growth Fund  
Institutional Shares 4901 (BAFMX)  
Investor Shares 5404 (BMIDX)

Brown Advisory  
Small-Cap Growth Fund  
Institutional Shares 1279 (BAFSX)  
Investor Shares 1277 (BIASX)

Brown Advisory Small-Cap  
Fundamental Value Fund  
Institutional Shares 1992 (BAUUX)  
Investor Shares 1290 (BIAUX)

Brown Advisory Global Leaders Fund  
Institutional Shares 5433 (BAFLX)  
Investor Shares 2958 (BIALX)

Brown Advisory  
Intermediate Income Fund  
Investor Shares 1294 (BIAIX)

Brown Advisory  
Sustainable Bond Fund  
Institutional Shares 4902 (BAISX)  
Investor Shares 4025 (BASBX)

Brown Advisory Total Return Fund  
Institutional Shares 2933 (BAFTX)  
Investor Shares 2392 (BIATX)

Brown Advisory Maryland Bond Fund  
Investor Shares 1293 (BIAMX)

Brown Advisory Tax Exempt Bond Fund  
Institutional Shares 1791 (BTEIX)  
Investor Shares 1794 (BIAEX)

Brown Advisory Mortgage Securities Fund  
Institutional Shares 2326 (BAFZX)  
Investor Shares 2324 (BIAZX)

Brown Advisory-WMC  
Strategic European Equity Fund  
Institutional Shares 2029 (BAFHX)  
Investor Shares 2013 (BIAHX)

Brown Advisory Emerging  
Markets Select Fund  
Institutional Shares 2006 (BAFQX)  
Investor Shares 2007 (BIAQX)

Brown Advisory -  
Beutel Goodman  
Large-Cap Value Fund  
Institutional Shares 5409 (BVALX)

Brown Advisory Tax-Exempt  
Sustainable Bond Fund  
Investor Shares 5539 (BITEX)