

## Form FN

### Notification of changes to an ICVC, Authorised Contractual Scheme or an Authorised Unit Trust, following prior approval of the FCA

#### Name of Scheme(s)

TM Brown Advisory Funds

Scheme Reference Number: 971552

#### Important information you should read before completing this form

This form applies to schemes authorised under either OEIC regulation 14, section 261C or 243 of FSMA. These are ICVCs, ACS or AUTs.

We need the information in this form to notify us that changes previously approved by the FCA have now been carried into effect (this includes the addition of a new sub-fund and completion of termination of a sub-fund). If the change is one of those listed in COLL 6.9.11R we must be notified not later than 14 days after the occurrence.

If you are the AFM of the receiving UCITS as a result of a domestic UCITS merger you must notify us immediately when that merger has been effected.

If a notification relates to more than one scheme you do not need to fill in a separate form for each scheme, a list of schemes can be included in Section 2.

The FCA processes personal data in line with the General Data Protection Regulation, as onshored, and the Data Protection Act 2018. For further information about the way we use the personal data collected in this form, please read our privacy notice available on our website: <https://www.fca.org.uk/privacy>

#### Terms in this pack

In this form we use the following terms:

'ACS' refers to Authorised Contractual Scheme

'AFM' refers to the Authorised Fund Manager

'AUT' refers to authorised unit trust

'COLL' refers to the Collective Investment Schemes sourcebook which is part of the Handbook

'Domestic UCITS Merger' for a definition see the Glossary to the Handbook

'FCA', 'we', 'us' and 'our' refers to the Financial Conduct Authority

'FSMA' or 'the Act' refers to the Financial Services and Markets Act 2000

'ICVC' refers to Investment Company with Variable Capital

'OEIC Regulations' refers to The Open-Ended Investment Companies Regulations 2001

'You' refers to the person signing the form on behalf of the AFM or depositary

### **Filling in the form**

- 1** When completing the form electronically:
  - use the TAB key to move to the next question and press SHIFT TAB to move to the previous question; and
  - print out all of the completed parts of the form.
  
- 2** We have left space in Section 2.1 for additional information. If there is not enough space on the form, you must continue on separate sheets of paper. Clearly mark each separate sheet of paper with the relevant question number.
  
- 3** Your notification should include this Form FN along with the following documents if relevant:
  - a copy of any revised instrument of incorporation;
  - a copy of any executed supplemental trust deed;
  - a copy of any executed ACS deed
  - a copy of any revised prospectus;
  - if a resolution has been passed by the director(s) of an ICVC to change the name of the company or any sub-fund, it would also be helpful for us to receive a copy.

**Send your notification to us at: [ukcis@fca.org.uk](mailto:ukcis@fca.org.uk)**

# 1

## Details of changes made to an authorised scheme

We need the information in this form to notify us that changes previously approved by us have now been carried into effect. We also need these details for our FS Register, which is our public record of authorised collective investment schemes in the UK. You can access our FS Register at [www.fca.org.uk/firms/systems-reporting/register](http://www.fca.org.uk/firms/systems-reporting/register)

### 1.1 Scheme type

UK UCITS

### 1.2 Details of changes(s) made

Pursuant to the Form 12 application approved by the FCA on 9 March 2022 and Form FN filed with the FCA on 16 March 2022, the only sub-fund of TM Brown Advisory Funds, the TM Brown Advisory Global Leaders Fund, is launching today, Thursday 14 April 2022.

We confirm that any amendments made during the review process are included in the final versions submitted together with this Form FN.

### 1.3 FCA approval reference (found on the top right of the approval letter)

MW/ICVCAuth/013561

### 1.4 Effective date of change(s)

14 April 2022

### 1.5 Documents enclosed

- Prospectus dated 14 April 2022 [final version];
- Instrument of Incorporation dated 14 April 2022 [final version]; and
- KIIDs dated 14 April 2022 [final versions].

# 2

## Additional information

You may need the additional space to answer the questions

### 2.1 Additional information



# 3

## Contact details for this notification

We need this information in case we need to contact you about your notification

### Contact for this notification

#### 3.1 Contact details for questions about this notification

Title	Mr
First name	Edward
Surname	Twigger
Job title	Associate
Business address	Farrer & Co LLP 66 Lincoln's Inn Fields London
Postcode	WC2A 3LH
Phone number (including STD code)	+44 1560 091653
Email address	Edward.Twigger@farrer.co.uk